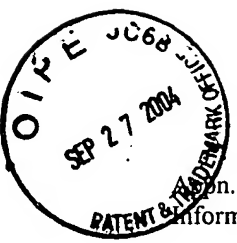


IFW



App. No. 10/706,798  
Information Disclosure Statement

PATENT

Attorney Docket No.: 08321-0126 US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of :  
CARLO CROCE *et al.* :  
Serial No.: 10/706,798 : Group Art Unit:  
1614 :  
Filed: November 12, 2003 : Examiner:  
Not Yet Assigned :  
For: COMPOSITIONS AND METHODS FOR :  
CANCER DIAGNOSIS AND THERAPY :  
::

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendments  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, submitted herewith is a copy of the references listed in the accompanying Form PTO-1449.

The Examiner is respectfully requested to review the items listed on the attached form and make it of record in the instant application as required by M.P.E.P. §609. It is requested that the Examiner initial the enclosed duplicate Form 1449, and return one copy to the undersigned.

**CERTIFICATE OF MAILING**  
**UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Mail Stop Amendments, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

BY M. Denise M. Collins


DATE: September 23, 2004

This statement should not be construed as a representation that the cited references are material or that more relevant prior art does not exist.

This statement is being submitted before receipt of any office action on the merits. Thus, no fee is due for the filing of this paper. However, if a fee is due, please charge Deposit Account No. 50-0573.

Respectfully submitted,

CARLO CROCE *et al.*

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SUBSTITUTE FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
08321-0126US1SERIAL NO.  
10/706,798

## INFORMATION DISCLOSURE STATEMENT

APPLICANT:  
CARLO CROCE et al.FILING DATE  
November 12, 2003GROUP  
1614

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION NO
AA	WO 03/029459 A2	04/10/2003	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AB	RUVKUN, G. "Glimpses of a tiny RNA world". <i>Science</i> . October 2001, Vol. 294, pages 797-799.
AC	CALIN, G.A. et al. "Frequent deletions and down-regulation of micro-RNA genes miR15 and miR16 at 13q14 in chronic lymphocytic leukemia". <i>PNAS</i> . November 2002, vol. 99., No. 24, pages 15524-15529.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.